

# Personal Trading Account Application Form



## 1. Trading Platform

- MetaTrader 4 (*available for Micro, Classic and Pro Accounts*)  
 Systematic Automated Portfolio Solution  
*(only available for Classic Account)*
- Alpari Direct (*only available for Pro Account*)  
 Alpari Direct Pro (*only available for Pro Account*)

## 2. Trading Account Type

- Micro Account  Classic Account  Pro Account

**Micro:** minimum deposit 200USD; Forex only.

**Classic:** minimum deposit 500USD; Forex, CFDs and precious metals.

**Pro:** minimum deposit 20,000USD; Forex only.

## 3. Name and Address

Surname: \_\_\_\_\_

Forenames: \_\_\_\_\_

Title (Mr./Mrs./Miss/other): \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Permanent Residential Address: \_\_\_\_\_  
\_\_\_\_\_

City/Town: \_\_\_\_\_

Country: \_\_\_\_\_

Postcode: \_\_\_\_\_

Time spent at your current address:

- < 3 years  >= 3 years

Provide the previous address if less than 3 years:

City/Town: \_\_\_\_\_

Country: \_\_\_\_\_

Postcode: \_\_\_\_\_

## 4. Contact Details

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

Where did you hear about us?

- Online Forums – Please specify: \_\_\_\_\_  
 Search Engines  
 Banners on the Internet  
 Recommendations from Friends

## 5. Employment

- Employed

If employed please give details (*all fields are mandatory*):

Employer's Name: \_\_\_\_\_

Nature of Business: \_\_\_\_\_  
\_\_\_\_\_

Position Held: \_\_\_\_\_

- Self Employed

If self employed please give details (*all fields are mandatory*):

Business name: \_\_\_\_\_

Nature of business: \_\_\_\_\_  
\_\_\_\_\_

- Retired

- Student

- Unemployed

- If you are registered with the FSA or similar regulatory body, please tick the box.

## 6. Financial Background

Approximate Annual Income: \_\_\_\_\_ USD

Approximate Savings/Investments (*excluding property*):  
\_\_\_\_\_ USD

Approximately how much of these funds are available for your trading with Alpari UK: \_\_\_\_\_ USD

Source of these funds (*tick a box*):

- Employment  Investment  
 Inheritance  Real Estate  
 Other (*please specify*): \_\_\_\_\_

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### 7. Investment Experience

Do you understand the nature and risks of trading margined products?  Yes  No

Do you have an Alpari UK Demo Account?  Yes  No

Please indicate your investment experience (*all fields are mandatory*):

Instrument	Experience (in months)			
FOREX (Spot or Forward)	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12
Contract For Difference (CFD)	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12
Equities/Bonds	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12
Equities/Indices Futures or Options	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12
Financial/Commodity Futures or Options	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12
Spread Betting	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12

Please indicate frequency of your deals:

Instrument	Frequency of deals (per month)		
FOREX (Spot or Forward)	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> > 10
Contract For Difference (CFD)	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> > 10
Equities/Bonds	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> > 10
Equities/Indices Futures or Options	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> > 10
Financial/Commodity Futures or Options	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> > 10
Spread Betting	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> > 10

### 8. Live Accounts Details

Account Currency (please tick one)  USD  GBP  EUR  CHF  JPY  GLD (not available on Pro Account)

Telephone Password (any word or number or combination of both):

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The telephone password is a memorable word that will be used to verify your identity should you ever want to discuss your account with us or trade by telephone.

### 9. IB number/Agent Account

If you have been referred by an introducing broker or agent, please specify their login/account number

### 10. Risk Warning

Forex and CFDs are leveraged products. They may not be suitable for you as they carry a high degree of risk to your capital and you can lose more than your initial investment. You should ensure you understand all of the risks. You should also be satisfied that these products are suitable for you according to your circumstances, market knowledge and financial position.



### 11. Notice and Declaration

I fully understand the nature and risks of trading Forex and other derivatives. I confirm I have read, understood and agree to be bound by the Alpari (UK) Customer Agreement, Risk Acknowledgement and Disclosure and Terms of Business.

I am over 18 and declare that the information provided by me during the account opening process is true and correct and undertake to notify you, in writing, if there are any changes to this data. I guarantee that I do not breach any regulations of my country of residence in trading with Alpari (UK).

I am a regular user of the internet and consent to Alpari (UK) providing information to me about changes to the Customer Agreement or Terms of Business by posting such information on the company website: [www.alpari.co.uk](http://www.alpari.co.uk)

Signature \_\_\_\_\_

Date \_\_\_\_\_

### 12. Supporting Documents

To open your account you must provide a clear copy of your ID and address verification. Please send scanned copies to [newaccount@alpari.co.uk](mailto:newaccount@alpari.co.uk) or fax to +44 (0)20 7426 2949

**If you are a resident of the UK, all EU countries, Australia, Canada, Hong Kong, India, Japan, New Zealand, Norway, Singapore, South Africa or Switzerland then we will require:**

- ID proof: non-expired Passport, Driving licence or National ID card;
- Address verification must be dated within 3 months and include your full name and current address: Bank statement, Utility bill, Credit card statement or Tenancy Agreement (we do not accept mobile phone bill, TV licenses, electronically generated PDF bank statements or utility bills).

**If you are a residents of any other country not mentioned above then to open your account we will require certified copies of:**

- ID proof: non-expired Passport, Driving licence or National ID card;
- Address verification must be dated within 3 months and include your full name and current address: Bank statement, Utility bill (gas, water, electric) or Credit card statement (we do not accept mobile phone bill, TV licenses, electronically generated PDF bank statements or utility bills).

**Important: All copies must be certified by a lawyer, bank manager or notary as a “true copy of the original”, and include the stamp, full name, address, date and signature of the person performing the certification.**

### 13. Deposit Funds: UK and International Clients

Deposits are accepted by bank transfer from the Customer's account. For GBP sterling accounts we also accept cheques drawn on a UK bank in the same name as the customer. Under no circumstances will third party or anonymous payments be accepted.

Alpari (UK) Ltd has the right to refuse payment by cheque if the cheque is drawn from a non-UK clearing bank; or any payment given has not cleared on the first presentation.

For more information please visit: [http://www.alpari.co.uk/en/customer\\_service/deposit\\_funds.html](http://www.alpari.co.uk/en/customer_service/deposit_funds.html)

### 14. Please send the signed application form to Alpari (UK) Ltd via

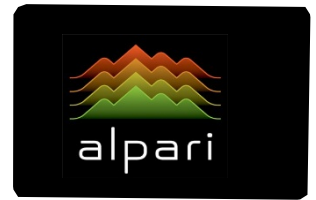
**Email:** [newaccount@alpari.co.uk](mailto:newaccount@alpari.co.uk)

**Fax:** +44 (0)20 7426 2949

**Post:** 201 Bishopsgate, London EC2M 3AB, United Kingdom

For further questions, please contact Account Opening Team on +44 (0)20 7426 2940

## Commission Disclosure Form



In order to ensure transparency and to comply with UK regulations we are providing you with this notice regarding the fee structures that will apply to your account.

We Alpari (UK) Limited hereby confirm to you that:

- 1) Your spreads get widened by 1 (one) pip extra on every currency pair and the difference between our retail spread and the extra spread is paid to your Money Manager (MM).
- 2) You pay a commission of \$20 per 1.0 standard lot completed on spot gold transaction.
- 3) We pay to your MM as a rebate 0.2 (zero point two) pip per completed FX related transaction; 5 cents per troy oz on gold transaction and 0.5 cent per troy oz on silver transaction; and on Classic account only, 10% of your paid CFD commission. N.B. FX, Gold and Silver rebates are not paid by the client; they are paid by Alpari as a commission to the IB for introducing business to our company.
- 4) You pay a performance fee of 15% every month to the MM, on profits only (realized and unrealized) above the water mark established at the specified time.

We trust that this is clear, but if not, please contact us ([partnerships@alpari.co.uk](mailto:partnerships@alpari.co.uk)) or your MM

Name: Goldtrades Info company

Classic2: 744463 (USD)

Micro1: 875266 (USD)

(N.B. In order to update your account setting, all positions/pending orders must be closed.)

Please acknowledge that you understand the terms above by signing below:

**\*IMPORTANT! Your Money Manager will ONLY be entitled to any rebates/commissions after both parties have received an email from us confirming that the accounts have been linked.**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Print Name: \_\_\_\_\_

MetaTrader Login: \_\_\_\_\_ (if known)

Account type Classic1 / Micro2 (circle appropriate, if known)

Account currency USD / EUR / GBP / JPY / CHF (circle appropriate, if known)

Please kindly return the completed form by either email, fax or post:

Email: [partnerships@alpari.co.uk](mailto:partnerships@alpari.co.uk)

Fax number: +44(0)20 7426 2879

Postal Address: Partnerships, Alpari (UK) Limited  
201 Bishopsgate, London, EC2M 3AB, United Kingdom

\* This clause only applies when we offer our Introducing Brokers and Money Managers the capability of increasing the spreads which they in turn offer their own clients. 'Limit' and 'Stop' orders can be utilized at any time but there are certain restrictions regarding how close they can be set from the current market price. Minimum 'Limit' and 'Stop' order levels can be calculated by adding the advertised levels on our website to the additional spread charged by your IB or Money Manager. For example, if you are trading GBP/USD and your spread is widened by an extra 3 pips on top of our official spread then the minimum limit/stop order level will be 3 pips (advertised, official spread) + 3 pips (artificial spread widening) = 6 pips (total spread and minimum limit/stop level).

## Addendum – Power of Attorney



### 1. General

- 1.1 This addendum applies where the Customer has appointed an attorney (“Attorney”) by way of a power of attorney (“Power”).
- 1.2 The clauses in this addendum are incorporated into the Customer Agreement between the Company and the Customer. This addendum, along with Operative Agreements, governs the relationship between the Customer and the Company.
- 1.3 These clauses are supplemental to the clauses in the Customer Agreement. Where these provisions are inconsistent with the Customer Agreement (or any provisions in any other Operative Agreement), the provisions of this addendum prevail.
- 1.4 Except where defined herein, capitalised terms in this addendum shall have the meanings given to such terms in the Customer Agreement. The interpretative provisions in clause 31 of the Customer Agreement also apply in this addendum.

### 2. Instructions from the Attorney

- 2.1 Instructions, Requests or other instructions (“Directions”) from the Attorney will be deemed to be from the Customer;
- 2.2 Under no circumstances will the Company be obligated to investigate, question or otherwise query the Attorney’s Directions or the validity of his/her appointment.
- 2.3 The Company is not responsible for the legal effectiveness of the Power. The Customer is solely responsible for ensuring the validity and the due execution of the Power.
- 2.4 Receipt of the Power by the Company does not put the Company on notice of any deficiency in the Power or its execution.
- 2.5 The Company will, at its complete and unfettered discretion, be entitled to refuse any Directions from the Attorney where the Company is of the opinion that:
  - 2.5.1 there is a conflict of interest;
  - 2.5.2 the Directions are outside the scope of the Customer Agreement; or
  - 2.5.3 there are any other circumstances which make it necessary to refuse such Directions.

### 3. Other matters

The provisions in the Schedule shall apply.

### 4. Limitation

- 4.1 In addition to the limitations of liability contained in the Operative Agreements, the Company will not be liable for any loss or expense incurred by the Customer in connection with, or directly or indirectly arising from:
  - 4.1.1 any deficiency in the validity or execution of the Power;
  - 4.1.2 the Customer appointing an Attorney;
  - 4.1.3 the Company acting on the Attorney’s Directions;
  - 4.1.4 the Company refusing to act on the Attorney’s Directions; or
  - 4.1.5 the Company acting in accordance with any directions specified in the Schedule.

## Addendum – Power of Attorney



### 5. Indemnity

- 5.1 In addition to the indemnities contained in the Operative Agreements, the Customer will indemnify the Company and keep the Company indemnified on demand in respect of all liabilities, costs, claims, demands, and expenses of any nature whatsoever which the Company suffers or incurs as a direct or indirect result of:
- 5.1.1 any deficiency in the validity or execution of the Power;
  - 5.1.2 the Customer appointing an Attorney;
  - 5.1.3 the Company acting on the Attorney's Directions;
  - 5.1.4 the Company refusing to act on the Attorney's Directions; or
  - 5.1.5 the Company acting in accordance with any directions specified in the Schedule.

### Schedule 1

#### Other matters

1. The Customer directs the Company unless or until the Company has received from the Customer notice to the contrary (in accordance with the Operative Agreements) to pay the Attorney a performance fee calculated in accordance with paragraph 2 below from any positive balance held by the Company on the Customer's behalf in respect of the Customer's dealings with the Company as conducted by the Attorney.
2. The performance fee shall be calculated as at 11.00 pm GMT on the last calendar day of every month and shall be **fifteen percent (15%)** of the aggregate amount of:
  - 2.1 all sums, if any, paid to the Customer in accordance with clause 8 of the Terms of Business.
  - 2.2 net realised profits taken on all closed positions (realised profits minus realised losses); and
  - 2.3 the net amount of unrealised Floating Profits (Floating Profits minus Floating Losses);after deducting the aggregate amount of all performance fees paid in respect of all previous months.
3. The Customer accepts that the Company shall be under no obligation to pay the performance fee if the Customer is in breach of, or might, in the Company's reasonable opinion, become as a result of the payment of the fee, unable to fulfil, any of the Customer's obligations under the Operative Agreements.
4. The Customer accepts that the Company will pay to the Attorney any performance fees owed in accordance with paragraph 2 above upon termination of this agreement by either the Customer, the Attorney or the Company.

**I, the Customer, acknowledge receipt of the above addendum and agree and direct as above:**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Print Name: \_\_\_\_\_

Please kindly return the signed document by either email, fax or post:

**Email:** [partnerships@alpari.co.uk](mailto:partnerships@alpari.co.uk)  
**Fax number:** +44(0)20 7426 2879  
**Postal Address:** Alpari (UK) Limited, Partnerships,  
201 Bishopsgate, London, EC2M 3AB, United Kingdom